

SUMS AND DIFFERENCES OF FINITE SETS

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To Jean-Marc Deshouillers, for his 60th birthday

ABSTRACT. In a given abelian group, let A and B be two finite subsets satisfying the small sumset condition $|A + B| \leq K|A|$. We consider the problem of estimating how large $|A - B|$ can be in terms of $|A|$ and K and the one of estimating the ratio $|X - B|/|X|$ when X runs over all the non-empty subsets of A .

1. Introduction and statement of the results

Let A and B be two non-empty and finite subsets of an abelian group G . The cardinality of any finite set X is written $|X|$. As usual, we denote by $A + B$ (resp. $A - B$) the set of all sums $a + b$ (resp. differences $a - b$) where $a \in A$ and $b \in B$. The set of all sums of h elements of B is denoted by hB . In the last fifteen years, several papers were concerning with the problem of comparing the relative sizes of $A + B$ and $A - B$. We clearly have $\max(|A|, |B|) \leq |A \pm B| \leq |A| |B|$. The upper bound is achieved when A and B are generic sets, that is when the only solutions of $a + b = a' + b'$, $a, a' \in A$, $b, b' \in B$ are the trivial solutions $(a, b) = (a', b')$. This shows that there is no non-trivial solution for $a - b' = a' - b$, $a, a' \in A$, $b, b' \in B$, thus we also have $|A - B| = |A| |B|$. If $|A + B| = |A|$, then $A + B - B = A$, which implies $|A - B| = |A|$. In this paper we consider the question of comparing the size of $A - B$ with that of $A + B$ when $|A + B| \leq K|A|$.

For multiple addition or difference, sharp results have been obtained thanks to a very efficient theorem of Plünnecke. According to [4], this result known as Plünnecke inequalities, can be stated as follows:

- (i) *Assume that $|A + B| \leq K|A|$. Then for any positive integer h , there exists a non-empty subset X of A such that*

$$(1) \quad |X + hB| \leq K^h |X|.$$

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(ii) Assume that for a positive integer j one has $|A + jB| \leq K|A|$. Then for any integer $h \geq j$, there exists a non-empty subset X of A such that

$$(2) \quad |X + hB| \leq K^{h/j}|X|.$$

(iii) Assume that $|A + B| \leq K|A|$. Then for any nonnegative integers h, j , one has

$$|hB - jB| \leq K^{h+j}|A|.$$

Assertion (i) is a particular case of (ii) and assertion (iii) is obtained by using (ii) and the inequality (cf. [4])

$$(3) \quad |X - Y| \leq \frac{|X + Z||Y + Z|}{|Z|},$$

which is valid for any finite sets X, Y, Z . It is quite clear that in general the set X in (i) and (ii) of Plünnecke inequalities cannot be reduced to a singleton (just think $A = B$ being a large finite arithmetic progression). On the other hand, it is worth mentioning that in general one cannot take $X = A$ (see [6] for more details on this question).

Letting $j = 0$ and $h = 2$ in assertion (iii) of Plünnecke inequality, we obtain $|2B| \leq |A + B|^2/|A|$. Thus we have

$$(4) \quad |A - B| \leq \frac{|A + B||2B|}{|B|} \leq \frac{|A + B|^3}{|A||B|} = \left(\frac{|A + B|^2}{|A||B|} \right) |A + B|,$$

by using inequality (3). When $|A|$, $|B|$ and $|A + B|$ are of comparable size, this inequality shows that $|A - B|$ has also a bounded ratio with $|A|$. If we only assume that $|A + B| \leq K|A|$, it is not true that $|A - B|/|A|$ is bounded by some constant depending on K , except in the special case $K = 1$. Indeed, the third-named author proved in [6] the following result: *There exists a real number $\theta > 1$ such that for any $K > 1$ and arbitrarily large integers n , there are two sets of integers A and B satisfying*

$$(5) \quad |A| = n, \quad |A + B| \leq K|A| \quad \text{and} \quad |A - B| \geq c(K)|A + B|^\theta,$$

where $c(K) > 0$.

The discussion above shows that the only way to extend this statement to $K = 1$ is to let $c(1) = 0$.

As shown in [6], the choice $\theta = 2 - \frac{\log 6}{\log 7} = 1.0792\dots$ is admissible in (5). The proof is based on a elementary construction which uses the fact that the set $U = \{0, 1, 3\}$ satisfies $|U + U| = 6$ and $|U - U| = 7$. In this connection and for future references we notice that (3) yields

$$(6) \quad |U - U| \leq |U + U|^{4/3}.$$

In [2], it is shown that for any $\lambda < \frac{\log(1+\sqrt{2})}{\log 2} = 1.2715\dots$, there exist sets A of non-negative integers such that $|A - A| \asymp |A + A|^\lambda$, but A does not fulfill the condition $|A + A| \asymp |A|$ any more. Nevertheless these sets allow us to show that the exponent θ in (5) can be slightly improved as regards to the original result:

Theorem 1. *Let $K > 1$ be a real number. There exist a real number $\theta_0 > 1.14465$ and two sets of integers A and B with $|A|$ arbitrarily large such that*

$$(7) \quad |A + B| \leq K|A| \quad \text{and} \quad |A - B| \geq \left(\frac{2(K-1)}{3K} \right)^{5/4} |A + B|^{\theta_0}.$$

Using similar ideas, one can show that there exists a positive real number $c(K)$ such that for any positive integer n , there exists two sets of integers A and B for which (5) holds with $\theta = \theta_0$.

The easy bound $|2B| \leq |B|^2$ and (4) imply $|A - B| \leq |A + B||2B|^{1/2}$. Since $|3B|^{1/3} \leq |2B|^{1/2}$ (see [6, Theorem 7.2] and also [7]), the following result provides a strengthened estimate.

Theorem 2. *Let A and B two finite sets in an abelian group. Then*

$$(8) \quad |A - B| \leq |A + B| |3B|^{1/3}.$$

In [7], the third-named author suggested that perhaps, the sequence $(|hB|^{1/h})_{h \geq 1}$ is non-increasing. A natural problem is to find for which integers h we have

$$(9) \quad |A - B| \leq |A + B| |hB|^{1/h}$$

for any sets A and B . Assume that this bound holds for some $h \geq 1$. By Plünnecke inequality, we have $|hB| \leq K^{h-1}|A + B|$, where $K = |A + B|/|A|$. Therefore $|A - B| \leq K^{1-1/h}|A + B|^{1+1/h}$. This contradicts Theorem 1 for $h \geq 7$ (see also the remark at the end of Section 2).

Using the trivial fact that $|A||B| \geq |A - B|$, the bound $|A - B| \leq |A + B|^{3/2}$ follows from (4). This estimate can be strengthened if we further assume that $|A + B| \leq K|A|$:

Corollary 3. *Let A and B be two finite sets such that $|A + B| \leq K|A|$. Then*

$$|A - B| \leq K^{2/3}|A + B|^{4/3}.$$

Indeed, as $|3B| \leq |A + B|^3/|A|^2$ by Plünnecke inequality, Theorem 2 gives

$$|A - B| \leq \frac{|A + B|^2}{|A|^{2/3}} \leq K^{2/3}|A + B|^{4/3}.$$

From Corollary 3 we deduce that the value of θ in (5) and that of θ_0 in (7) cannot be larger than $4/3$.

We now consider the following related question: under the same assumption $|A + B| \leq K|A|$, how large can be $|X - B|/|X|$ where X runs over all the subsets of A ? Using Plünnecke inequality (1), it is possible to obtain the following upper bound for this ratio:

Theorem 4. *Let A and B be non-empty and finite subset of some abelian group such that $|A + B| \leq K|A|$. Then there exists some non-empty subset X of A such that*

$$(10) \quad \frac{|X - B|}{|X|} \leq K \exp \left(2\sqrt{(\log K)(\log |A|)} \right).$$

We observed above that $|A - B|/|A|$ can be very large even in the case where $|A + B|/|A|$ is bounded. The following result shows that this fact is in some sense uniform (see [6]): *There exist two sets A and B with $|A|$ arbitrarily large and $|A + B| \leq 3|A|$ such that for any $X \subset A$, one has $|X - B| \geq \frac{1}{3}(\log |A|)|X|$.* By a modification of the argument, this result may be improved in the following way:

Theorem 5. *Let $K > 1$ and τ such that $0 < \tau < 1 - 1/K$, and define*

$$f(\tau) = (-\tau \log \tau - (1 - \tau) \log(1 - \tau)).$$

Then for any $c < \sqrt{\frac{2}{3}}f(\tau)$ there exist two sets A and B with $|A|$ arbitrarily large and $|A + B| \leq K|A|$ such that for any non-empty subset X of A , one has

$$\frac{|X - B|}{|X|} \geq \exp\left(c\sqrt{(\log((1 - \tau)K))(\log |A|)(\log \log |A|)^{-1}}\right).$$

As an immediate consequence, we obtain for K not too close to 1:

Corollary 6. *Let $K > 2$. Then for any $c < \frac{\sqrt{2}\log 2}{\sqrt{3}}$, there exist two sets A and B with $|A|$ arbitrarily large and $|A + B| \leq K|A|$ such that for any non-empty subset X of A , one has*

$$\frac{|X - B|}{|X|} \geq \exp\left(c\sqrt{(\log(K/2))(\log |A|)(\log \log |A|)^{-1}}\right).$$

This uniform lower bound for $|X - B|/|X|$ can be compared to the upper bound (10) obtained in Theorem 4.

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2. Sumset and difference set

Proof of Theorem 1. The result will follow from

Lemma. *Let $K > 1$ be a real number and let U be a finite, non-empty set of nonnegative integers containing 0. Set $s = |2U|$, $d = |U - U|$, $q = 2 \max U + 1$ and $\theta = 1 + \log(d/s)/\log q$. If $d < q$, then there exist pairs (A, B) of finite, non-empty integer sets with $|B|$ arbitrarily large such that $|A + B| \leq K|A|$ and*

$$(11) \quad |A - B| \geq (2(K - 1)/3K)^{5/4}|A + B|^\theta.$$

Proof. We fix k any arbitrary large integer. Set

$$B = \left\{ \sum_{j=0}^{k-1} u_j q^j : u_j \in U, j = 0, \dots, k-1 \right\},$$

and

$$A = [1, L] \cup \bigcup_{i=1}^m (a_i + B),$$

where the a_i 's are positive integers larger than $L+q^k$ and such that $a_i - a_j \notin (B-B) \cup 2B$ unless $i = j$. Since $\max B < q^k$, we have

$$|A| \geq L + 1, \quad |A + B| = ms^k + t, \quad |A - B| = md^k + t,$$

where $t := |[1, L] + B| = |[1, L] - B|$. Since $B \subset [0, \frac{q^k}{2}]$, we note that $L \leq t \leq L + \frac{q^k}{2}$. We choose

$$L = \left\lfloor \frac{3q^k}{2(K-1)} \right\rfloor.$$

Letting $m = \lfloor (\frac{q}{s})^k \rfloor$, we obtain $|A+B| \leq q^k + t \leq \frac{3}{2}q^k + L \leq \frac{3Kq^k}{2(K-1)} \leq K(L+1) \leq K|A|$ and $|A-B| \geq (\frac{qd}{s})^k - d^k + t \geq (\frac{qd}{s})^k$ if we assume further that $d < q$ and k is sufficiently large. Consequently

$$|A - B| \geq \left(\frac{qd}{s}\right)^k \geq \left(\frac{2(K-1)|A+B|}{3K}\right)^{1 + \frac{\log d - \log s}{\log q}}.$$

By (6), we have $d \leq \max(q, s^{4/3})$, thus

$$(12) \quad 1 + \frac{\log d - \log s}{\log q} \leq \frac{5}{4}.$$

We finally get (11). □

Remark. It is worth mentioning that (12) implies that the largest exponent θ that could be eventually obtained by this method is at most equal to $5/4$.

By an exhaustive computational research, we got the set $U = \{0, 1, 3, 6, 13, 17, 21\}$ which satisfies $|U + U| = 26$, $|U - U| = 39$ and $q = 43$, thus the exponent $\theta = 1 + \frac{\log 39 - \log 26}{\log 43} = 1.1078\dots$ is admissible in (5) with $c(K) = \left(\frac{2(K-1)}{3K}\right)^{5/4}$. This set U provides the optimal value of $\frac{\log d(U) - \log s(U)}{\log q(U)}$ when U runs over all sets of nonnegative integers of cardinality less than or equal to 11.

In order to improve the admissible exponent in (5), we will use some idea from [2]. We denote \mathbb{N} the set of all nonnegative integers. Let

$$(13) \quad V = V(m, L) = \{(x_1, \dots, x_m) \in \mathbb{N}^m : x_1 + \dots + x_m \leq L\}.$$

Then by lemmas 1 and 2 of [2], we get

$$(14) \quad |V| = \binom{m+L}{m}, \quad |2V| = \binom{m+2L}{m}, \quad |V - V| = \sum_{k=0}^{\min(m,L)} \binom{m}{k}^2 \binom{L+m-k}{m}.$$

Let $\Lambda = (L_j)_{j \geq 0}$ be the sequence defined by

$$(15) \quad L_0 = 1, \quad L_{j+1} = 2LL_j + 1, \quad j \geq 0.$$

By projection of V on the set of nonnegative integers $(x_1, \dots, x_m) \mapsto x_1 + x_2L_1 + x_3L_2 + \dots + x_mL_{m-1}$, by which the number of sums and the number of differences are

preserved, we get a set U verifying $\max U = LL_{m-1}$. Solving the linear recurrence (15), we obtain $L_{m-1} = \frac{(2L)^{m-1}}{2L-1}$, thus $q(U) = 2 \max U + 1 = \frac{(2L)^{m+1}-1}{2L-1}$. The choice $m = 8$, $L = 9$ gives a set U with $|U| = 24310$, $s(U) = 1562275$, $d(U) = 23301307$ and $q(U) = 11668193551$. This yields the exponent

$$\theta = 1 + \frac{\log d(U) - \log s(U)}{\log q(U)} = 1.1165 \dots$$

in (5).

We may observe that when projecting V on the set of integers, we only need to select a sequence $\Lambda = (L_j)_{j=0, \dots, m-1}$ such that the number of sums (and hence also the number of differences) are preserved. For this we can argue by induction applying the following greedy algorithm: let $L_0 = 1$, and assume that for some $1 \leq j \leq m-1$, $L_0 < L_1 < \dots < L_{j-1}$ have been chosen so that the mapping $p_j : (x_1, \dots, x_j) \mapsto x_1 + x_2 L_1 + x_3 L_2 + \dots + x_j L_{j-1}$ preserves the number of sums from $S(j, L) := \{(x_1, \dots, x_j) \in \mathbb{N}^j : x_1 + \dots + x_j \leq L\}$. Put $U(j, L) := p_j(S(j, L))$ and let

$$L_j := \min\{l > LL_{j-1} : l \notin U(j, L) + U(j, L) - U(j, L) - U(j, L-1)\}.$$

Then the projection $p_{j+1} : (x_1, \dots, x_{j+1}) \mapsto x_1 + x_2 L_1 + x_3 L_2 + \dots + x_j L_{j-1} + x_{j+1} L_j$ preserves the number of sums from $S(j+1, L)$. Indeed let $x, y, z, t \in S(j+1, L)$ such that

$$(16) \quad p_{j+1}(x) + p_{j+1}(y) = p_{j+1}(z) + p_{j+1}(t).$$

If $x_{j+1} = y_{j+1} = z_{j+1} = t_{j+1} = 0$, then

$$(17) \quad p_j(x_1, \dots, x_j) + p_j(y_1, \dots, y_j) = p_j(z_1, \dots, z_j) + p_j(t_1, \dots, t_j),$$

hence by induction hypothesis $x + y = z + t$. Otherwise, we may assume that $x_{j+1} + y_{j+1} - z_{j+1} - t_{j+1} \geq 0$ and $x_{j+1} \geq 1$. Then $(x_1, \dots, x_j) \in S(j, L-1)$ and by (16), one has $(x_{j+1} + y_{j+1} - z_{j+1} - t_{j+1})L_j = p_j(t_1, \dots, t_j) + p_j(z_1, \dots, z_j) - p_j(y_1, \dots, y_j) - p_j(x_1, \dots, x_j) \in U(j, L) + U(j, L) - U(j, L) - U(j, L-1)$. Since $\max(U(j, L) + U(j, L) - U(j, L) - U(j, L-1)) < 2L_j$ and $L_j \notin U(j, L) + U(j, L) - U(j, L) - U(j, L-1)$, we clearly have $x_{j+1} + y_{j+1} - z_{j+1} - t_{j+1} = 0$, giving (17) again. By the induction hypothesis, we deduce $(x_1, \dots, x_j) + (y_1, \dots, y_j) = (z_1, \dots, z_j) + (t_1, \dots, t_j)$, and finally $x + y = z + t$. For $m = 9$ and $L = 7$, a short program gives the sequence

$$\Lambda = (1, 15, 211, 1590, 14976, 109870, 788046, 5535439, 38772709)$$

yielding by projection a sequence U of integers such that $q(U) = 2 \max U + 1 = 542817927$. Since sums and differences are preserved in cardinality, of course by (14) we have $s(U) = \binom{23}{9} = 817190$ and $d(U) = \sum_{k=0}^6 \binom{9}{k}^2 \binom{16-k}{9} = 12494233$. We thus get $\theta = 1.135596$ as an admissible exponent.

It is still possible to improve it by relaxing the definition of the sequence $\Lambda = (L_j)_{j=0, \dots, m-1}$ by removing the condition $L_j > LL_{j-1}$, $j \geq 1$. We thus obtain a new sequence Λ for which the projection $p_j : (x_1, \dots, x_j) \mapsto x_1 + x_2 L_1 + x_3 L_2 + \dots + x_j L_{j-1}$ does not necessary preserve the number of sums nor the number of differences. However

only a few number of sums and differences are lost through the projection p_j . This gives for $m = 11$, $L = 7$ and

$$\Lambda = (1, 15, 211, 1590, 14976, 109870, 605315, 3362489, 17767138, 80137194, 408850463)$$

a set U verifying

$$s(U) = 4455634, \quad d(U) = 110205905, \quad q(U) = 2 \max U + 1 = 5723906483.$$

This yields the admissible exponent $\theta = 1.144655$. \square

Proof of Theorem 2. The Plünnecke inequality (i) given in the introduction has the disadvantage not to give any information on the size of the subset X of A . However by repeated application of it, it has been shown by the third-named author that an analogue result holds with a large subset X of A (see [7, Theorem 3.3]). In a weaker but more convenient form, it can be stated as follows:

Lemma. *Let K and δ be positive real numbers, h be a positive integer and A, B be finite and non-empty subsets of an abelian group such that $|A+B| \leq K|A|$. Then there exists a subset X of A with $|X| \geq (1-\delta)|A|$ such that $|X+hB| \leq 2K^h\delta^{1-h}|A|$.*

We now complete the proof of Theorem 2. We use the following notation: $|A| = m$, $|jB| = n_j$, $|B| = n = n_1$, $|A+B| = s$ and $|A-B| = d$. We obviously have

$$(18) \quad d \leq mn.$$

We also use several instances of (3). First we put $X = A$, $Y = B$, $Z = B$ to obtain

$$(19) \quad d \leq \frac{sn_2}{n}.$$

Next we put $Y = B$, $Z = 2B$ to obtain

$$(20) \quad |X-B| \leq |X+2B| \frac{n_3}{n_2}.$$

We will use this for a large subset X of A for which $X+2B$ is small and in view of (20) we will then estimate $A-B$ by

$$|A-B| \leq |X-B| + |(A \setminus X) - B| \leq |X+2B| \frac{n_3}{n_2} + n(m - |X|).$$

For the set X given in the lemma with $h = 2$, we deduce

$$(21) \quad |A-B| \leq \frac{2n_3s^2}{n_2\delta m} + \delta nm.$$

Choosing $\delta = \frac{s}{m} \left(\frac{2n_3}{nn_2} \right)^{1/2}$ in this inequality, we find

$$|A-B|^2 \leq (2s)^2 \left(\frac{2nn_3}{n_2} \right).$$

Multiplying this inequality with (19) and taking the cube root, we obtain $d \leq 2sn_3^{1/3}$, which is the requested inequality apart from the factor 2. We can remove it as follows.

Take our sets A, B and apply the result to the k -fold Cartesian products A^k and B^k . Every quantity is then raised to the k -th power, and by taking k -th root we have our theorem with the factor $2^{1/k}$. By taking the limit we derive the theorem with the factor 1. \square

Remark. We saw in the introduction that the bound (9) is not true in general for $h \geq 7$. Let $A = B = V(m, m/2)$ be the set defined in (13) with $L = m/2$. We have by (14) the estimates $\log |2A| = (2 \log 2 + o(1))m$, $\log |A - A| = (2 \log(1 + \sqrt{2}) + o(1))m$ as m tends to infinity (see [2] for more details). Moreover $6A = V(m, 3m)$, thus, by Stirling's formula, we have $|6A| = (4 \log 4 - 3 \log 3 + o(1))m$ as m tends to infinity. Since $2 \log(1 + \sqrt{2}) - 2 \log 2 > \frac{4 \log 4 - 3 \log 3}{4}$, we obtain that $|A - A| > |2A||6A|^{1/6}$ for m sufficiently large, disproving the bound (9) for $h = 6$. For $h = 4$ or 5 , it is an open question to decide whether or not (9) holds for any sets A and B .

3. How large can $|X - B|$ be for $X \subset A$?

Proof of Theorem 4. For an integer $N \geq 1$ (to be specified later) put

$$\lambda = \min_{1 \leq j \leq N} \frac{|(j+1)B|}{|jB|}.$$

Then by Plünnecke inequality, $\lambda^N |B| \leq |(N+1)B| \leq K^{N+1} |A|$, thus

$$\lambda \leq K^{1+1/N} \left(\frac{|A|}{|B|} \right)^{1/N}.$$

Together with the trivial bound $\lambda \leq |B|$, we get $\lambda \leq K|A|^{1/(N+1)}$. Therefore there exists j , $1 \leq j \leq N$, such that

$$|jB + B| \leq K|A|^{1/(N+1)} |jB|.$$

Inequality (3) yields for any $X \subset A$,

$$|X - B| \leq \frac{|X + jB||jB|}{|jB|}.$$

By Plünnecke's theorem, there exists a non-empty subset $X \subset A$ such that $|X + jB| \leq K^j |X|$, thus

$$|X - B| \leq K^{j+1} |A|^{1/(N+1)} |X| \leq K^{N+1} |A|^{1/(N+1)} |X|.$$

Taking

$$N = \left\lceil \left(\frac{\log |A|}{\log K} \right)^{1/2} \right\rceil - 1,$$

we finally obtain the bound (10). \square

Proof of Theorem 5. Let $d \geq 1$ be an integer. We will construct a pair of sets A and B in \mathbb{Z}^d satisfying the conclusion of Theorem 5. Then by projection on \mathbb{Z} , using for instance the mapping $(x_1, \dots, x_d) \mapsto x_1 + qx_2 + \dots + q^{d-1}x_d$ where q is sufficiently large to have the number of sums and that of differences unchanged, we may obtain the same result with A and B being sets of integers.

For a given d -tuple $\underline{x} = (x_1, x_2, \dots, x_d) \in \mathbb{N}^d$, we denote by $\nu(\underline{x})$ the number its non-zero coordinates, and by $\sigma(\underline{x})$ the sum of all its coordinates:

$$\nu(\underline{x}) = \sum_{\substack{1 \leq i \leq d \\ x_i \neq 0}} 1, \quad \sigma(\underline{x}) = \sum_{1 \leq i \leq d} x_i.$$

Let $(e_i)_{1 \leq i \leq d}$ be the canonical basis of \mathbb{Z}^d and $u \in [1, d]$ be an integer. We let

$$A = \{\underline{x} = (x_1, x_2, \dots, x_d) \in \mathbb{N}^d : \nu(\underline{x}) = J \text{ and } \sigma(\underline{x}) = k\},$$

and

$$B = \{e_{i_1} + e_{i_2} + \dots + e_{i_u} : 1 \leq i_1 < i_2 < \dots < i_u \leq d\}.$$

The set A is formed with integral points of certain J -dimensional edges of a simplex and the set B by some vertices of an hypercube. The sumset $A + B$ has the same structure than A and its size is controlled by the parameters k and u : large k and small u make $|A + B|$ close to $|A|$. Now each element of $A - B$ having exactly u negative coordinates (all are equal to -1) belongs to a certain $a - B$, for an unique $a \in A$. It follows that choosing the parameter $d - J$ as large as possible, in relation with k and u , will imply a large lower bound for $|X - B|/|X|$, for any $\emptyset \neq X \subset A$.

We have by easy combinatorial considerations

$$(22) \quad |A| = \binom{d}{J} \binom{k-1}{J-1}.$$

Put for $i = 0, 1, \dots, u$

$$C_i = \{\underline{x} = (x_1, x_2, \dots, x_d) \in \mathbb{N}^d : \nu(\underline{x}) = J + i \text{ and } \sigma(\underline{x}) = k + u\}.$$

Then $A + B \subset \bigcup_{i=0}^u C_i$. We also have

$$|C_i| = \binom{d}{J+i} \binom{k+u-1}{J+i-1}.$$

From this and (22) we get

$$\begin{aligned} \frac{|C_i|}{|A|} &= \frac{(d-J)(d-J-1)\dots(d-J+i-1)}{(J+1)(J+2)\dots(J+i)} \cdot \frac{(k+u-1)(k+u-2)\dots(k+u-i)}{(J+i-1)(J+i-2)\dots J} \\ &\quad \cdot \frac{(k+u-i-1)(k+u-i-2)\dots k}{(k-J+u-i)(k-J+u-i-1)\dots(k-J+1)} \\ &\leq \left(\frac{d-J}{J}\right)^i \frac{(k+u)^u}{J^i(k-J)^{u-i}}. \end{aligned}$$

Thus

$$\sum_{i=0}^u \frac{|C_i|}{|A|} \leq \left(\frac{k+u}{k-J}\right)^u \sum_{i=0}^u \left(\frac{(d-J)(k-J)}{J^2}\right)^i.$$

If we assume

$$(23) \quad \frac{(d-J)(k-J)}{J^2} \leq \tau,$$

we get

$$(24) \quad \frac{|A+B|}{|A|} \leq \sum_{i=0}^u \frac{|C_i|}{|A|} \leq (1-\tau)^{-1} \left(\frac{k+u}{k-J}\right)^u.$$

For each $\underline{x} \in A$, there are $(d-J)$ zero coordinates x_i , thus there are at least $\binom{d-J}{u}$ elements in $\underline{x} - B$ which are uniquely determined by \underline{x} in $A - B$. This gives for any $X \subset A$

$$|X - B| \geq \binom{d-J}{u} |X|.$$

We now come to the choice of the parameters. Let $\varepsilon > 0$ such that $(1-\tau)K^{1-\varepsilon} > 1$.

We introduce $\theta = \left(\frac{\log((1-\tau)K^{1-\varepsilon})}{J}\right)^{1/2}$, $\lambda = \frac{\tau}{\theta}$ and put

$$u = \lfloor \tau\theta J \rfloor, \quad d = \lfloor (1+\theta)J \rfloor, \quad k = \lfloor (1+\lambda)J \rfloor.$$

Condition (23) is clearly fulfilled thus (24) holds. A short calculation yields

$$(1-\tau)^{-1} \left(\frac{k+u}{k-J}\right)^u \leq (1-\tau)^{-1} (1-\tau)K^{1-\varepsilon} (1+o(1)) \leq K$$

as J tend to infinity, thus $|A+B| \leq K|A|$ can be achieved by taking J large enough.

Stirling's formula gives

$$\binom{d-J}{u} = \binom{\lfloor \theta J \rfloor}{\lfloor \tau\theta J \rfloor} \geq \exp((f(\tau) + o(1))\theta J),$$

as J tends to infinity. Thus we have

$$\frac{|X-B|}{|X|} \geq \exp\left((f(\tau) + o(1))\sqrt{J \log((1-\tau)K^{1-\varepsilon})}\right).$$

By (22), we obtain $|A| \leq J^{\frac{3}{2}J(1+o(1))}$ as J tends to infinity, hence

$$(25) \quad \log |A| \leq \left(\frac{3}{2} + o(1)\right) J \log J,$$

giving $J \geq \frac{2+o(1)}{3} \frac{\log |A|}{\log \log |A|}$. Theorem 5 follows easily by choosing $\varepsilon > 0$ sufficiently small so that $(1-\varepsilon)^{1/2} f(\tau) \sqrt{\frac{2}{3}} > c$ and then by taking J large enough. \square

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